



## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/876,857	06/07/2001	Kenneth Addy	110-052

CONFIRMATION NO. 7742

## FORMALITIES LETTER



\*OC000000006396264\*

 Greenberg Traurig, LLP  
 200 Park Avenue  
 New York, NY 10166

Date Mailed: 08/08/2001

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 ORIGINALLY FILED

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$348.
  - \$108 for 6 total claims over 20.
  - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1188.

A copy of this notice **MUST** be returned with the reply.

 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/31/2002 MWOLDER1 00000055 501561 09876857

01 FC:101	740.00 CH
02 FC:102	252.00 CH
03 FC:103	108.00 CH
04 FC:105	130.00 CH

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
110-052

In Re Application Of: Addy et al.

JAN 28 2002

Serial No.  
09/876,857

Filing Date  
06/07/2001

Examiner  
Unknown

Group Art Unit  
2859

Invention: Security System with Portable Timepiece and Methods for Use Therewith

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

August 8, 2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Patent Assignment with Recordation Form Cover Sheet

01/31/2002 MWOLDER1 00000055 501561 09076857

05 FC:117 920.00 CH

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
110-052

In Re Application Of: Addy et al.

Serial No.  
09/876,857

Filing Date  
06/07/2001

Examiner  
Unknown

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2859

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☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$740.00

☐ Design application filing fee

☒ Total number of independent claims = 6 \$252.00

☒ Total number of claims = 26 \$108.00

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees \$1,230.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☒ Three months ☐ Four months ☐ Five months

from: October 8, 2001  
Date

until: January 8, 2002  
Date

Total time extension fees \$920.00

Total fees due \$2,150.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entry)**

Docket No.  
110-052

In Re Application Of: Addy et al.

JAN 28 2002

Serial No.  
09/876,857

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Examiner  
Unknown

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The fee of \$2,150.00 is to be paid as follows:

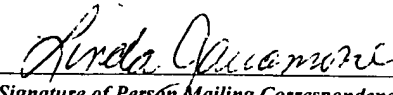
- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1561  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-1561  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated: January 8, 2002

Anthony R. Barkume  
Reg. No. 33,831  
Greenberg Traurig, LLP  
200 Park Avenue  
New York, NY 10066  
212-801-2113

I certify that this document and fee is being deposited  
on 01/08/2002 with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Signature of Person Mailing Correspondence

Linda Garramone

Typed or Printed Name of Person Mailing Correspondence

CC:

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): Addy et al.

Docket No.

110-052

Serial No.

09/876,857

Filing Date

06/07/2001

Examiner

Unknown

Group Art Unit

2859

Invention: Security System with Portable Timepiece and Methods for Use Therewith

COPY OF PAPERS  
ORIGINALLY FILEDI hereby certify that this Patent Assignment and Recordation Form Cover Sheet

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on

January 8, 2002

(Date)

Linda Garramone

(Typed or Printed Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

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ARB GREENBERG  
ATTORNEYS AT LAW 40018.010100

TRAUBIG

MET LIFE BUILDING  
200 PARK AVENUE NEW YORK, NEW YORK 10166

Assistant Commissioner for Patents  
U.S. Patent and Trademark Office  
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Washington, DC 20231

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